

11/13/01



J1135 U.S. PTO

09987167 11/13/01

## Transmittal Form w Declaration

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	<b>MAM-005</b>	Total Pages		
	First named Inventor or Application Identifier		Noriyuki TAMURA		
	Title of Invention		ELECTRODE FOR LITHIUM SECONDARY BATTERY AND LITHIUM SECONDARY BATTERY		
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 13]</p> <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheet 1]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages 3]</p> <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input checked="" type="checkbox"/> Assignment papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (5 docs)</p> <p>12. <input type="checkbox"/> Preliminary Amendment with Version with Markings to Show Changes Made</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status <input type="checkbox"/> Assertion filed in prior application, status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Priority of application No. * filed on * in * is claimed under 35 USC 119. <input type="checkbox"/> The certified copy has been filed in prior application Serial No. 08/*.</p> <p>16. <input type="checkbox"/> Other: *</p> <p><b>OTHER</b></p> <p>17. <input checked="" type="checkbox"/> Priority of <u>Japanese</u> Patent Application No. <u>2000-346984</u> filed <u>November 14, 2000</u> is claimed under 35 USC 119.</p>			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP) of prior application No.: <u>08/ *</u>					
<b>18. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>020374</u> or <input type="checkbox"/> Correspondence address below					
NAME	KUBOVCIK & KUBOVCIK				
ADDRESS	900 17th Street, N.W.				
CITY	Washington	STATE	DC	ZIP CODE	20006
FILING DATE	November 13, 2001	TEL	202-887-9023	FAX	202-887-9093

Jc872 U.S. PTO

09/987167



11/13/01

**FEE TRANSMITTAL**

Note: Effective October 1, 2001

Application Number	Not Assigned
Filing Date	November 13, 2001
First Named Inventor	Noriyuki TAMURA
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	MAM-005


CLAIMS AS FILED-PART 1			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE	RATE	FEE
BASIC FEE (37 CFR 1.16 (a))				\$370.00		\$740.00
TOTAL CLAIMS (37 CFR 1.16 (c))	9 - 20 =		\$9.00		\$18.00	\$0.00
INDEPENDENT CLAIMS (37 CFR 1.16 (B))	1 - 3 =		\$42.00		\$84.00	\$0.00
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d))			\$140.00		\$280.00	
			SUB TOTAL		SUB TOTAL	\$740.00
SURCHARGE-LATE FILING FEE OR DECLARATION			\$65.00		\$130.00	
RECORDING ASSIGNMENT			\$40.00		\$40.00	\$40.00
TOTAL				\$0.00		\$780.00

**METHOD OF PAYMENT (check one)**1. ☒ The commissioner is hereby authorized to credit overpayments or charge insufficiencies to:

DEPOSIT ACCOUNT No. 111833

DEPOSIT ACCOUNT NAME KUBOVCIK &amp; KUBOVCIK

2. ☒ Payment Enclosed:☒ Check (# 4290 for \$780.00)☐ Money Order☐ Other**SIGNATURE OF ATTORNEY, OR AGENT**

NAME	Keiko Tanaka Kubovcik	REGISTRATION No.	40,428
SIGNATURE		ADDRESS	KUBOVCIK & KUBOVCIK 900 17th Street, N.W. Washington, D.C. 20006
		TELEPHONE	202-887-9023
DATE	November 13, 2001	FAX	202-887-9093

KTK/cfm